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PTO/SB/30(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

Application No. 09/414,710

Filing Date 10/08/1999

First Named Inventor Faber, et al.

Group Art Unit 2163

Examiner Name Susanna Meinecke-Diaz

Attorney Docket No. 4704.P001

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1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37. C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS) 03/05/2002 TBESHARI 00000017 09414710
- iv. ☐ Other 01 FC:173 740.00 0P

2. Miscellaneous

- a. ☒ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of 3 months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge deficiencies in the following fees, or credit any overpayments, to Deposit Account No. 2-2666
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e): \$740.00
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17): \$110.00
- iii. ☒ Other Suspension of action: \$130.00
- b. ☒ Checks totaling the amount of \$980.00 enclosed 03/05/2002 TBESHARI 00000017 09414710
- c. ☐ Payment by credit card (Form PTO-2038 enclosed) 03 FC:122 430.00 0P

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type) John P. Ward

Registration No. (Attorney/Agent) 40,216

Signature [Signature]

Date February 22, 2002

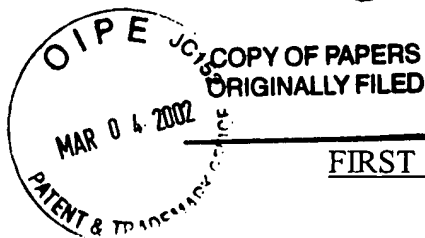
CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, D.C. 20231-0001, on:

Name (Print/Type) Judy L. Steinkraus

Signature [Signature]

Date 2/22/2002



FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to

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Commissioner for Patents

Washington, D.C. 20231-0001

on 2/22/02

Judy L. Steinkraus

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MAR 12 2002

Technology Center 2100

| | |
|---|---|
| Serial/Patent No. <u>09/414,710</u> | Filing/Issue Date: <u>10/08/1999</u> |
| Client: <u>Keen.com</u> | |
| Title: <u>SYSTEM FOR PROVIDING SERVICES IN REAL-TIME OVER THE INTERNET</u> | |
| BSTZ File No. <u>4704-P001</u> | Atty/Secy Initials: <u>JPW:JCS</u> |
| Date Mailed: <u>February 22, 2002</u> | Docket Due Date: <u>February 22, 2002</u> |
| The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon: | |
| <input checked="" type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No. _____ |
| <input checked="" type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input checked="" type="checkbox"/> One Month(s) Extension of Time |
| <input checked="" type="checkbox"/> Application - Utility (____ pgs. with cover and abstract) | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.) |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input checked="" type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input checked="" type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input checked="" type="checkbox"/> Petition for Extension of Time (1 p. + duplicate) |
| <input checked="" type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input checked="" type="checkbox"/> Petition for _____ |
| <input checked="" type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard |
| <input checked="" type="checkbox"/> Application - PCT (____ pgs.) | <input checked="" type="checkbox"/> Power of Attorney (____ pgs.) |
| <input checked="" type="checkbox"/> Application - Provisional (____ pgs.) | <input checked="" type="checkbox"/> Preliminary Amendment (____ pgs.) |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet | <input checked="" type="checkbox"/> Reply Brief (____ pgs.) |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input checked="" type="checkbox"/> Response to Notice of Missing Parts |
| <input checked="" type="checkbox"/> Declaration & POA (____ pgs.) | <input checked="" type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |
| <input checked="" type="checkbox"/> Disclosure Docs & Copy of Invention Spread Sheet (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter in duplicate (1 page) (RCE) |
| <input checked="" type="checkbox"/> Drawings: _____ # of sheets includes _____ figures | <input checked="" type="checkbox"/> Fee Transmittal in duplicate (2 pages) |
| <input type="checkbox"/> Other _____ | |



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PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT \$980.00

Complete if Known:

Application No. 09/414,710

Filing Date 10/08/1999

First Named Inventor Faber, et al.

Group Art Unit 2163

Examiner Name Susanna Meinecke-Diaz

Attorney Docket No. 4704.P001

METHOD OF PAYMENT (check one)

1. ☒ [X] The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name _____

☐ [] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ [] Applicant claims small entity status. See 37 CFR 1.27

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2. ☒ X Payment Enclosed: ☒ X Check
Money Order
Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|----------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 101 | 740 | 201 | 370 | Utility application filing fee | _____ |
| 106 | 330 | 206 | 165 | Design application filing fee | _____ |
| 107 | 510 | 207 | 255 | Plant filing fee | _____ |
| 108 | 740 | 208 | 370 | Reissue filing fee | _____ |
| 114 | 160 | 214 | 80 | Provisional application filing fee | _____ |

SUBTOTAL (1) \$ _____

2. EXTRA CLAIM FEES

| | | Extra Claims | | Fee from below | | Fee Paid | |
|--------------------|-------|--------------|-------|----------------|-------|----------|-------|
| Total Claims | _____ | - 20** = | _____ | X | _____ | = | _____ |
| Independent Claims | _____ | - 3** = | _____ | X | _____ | = | _____ |
| Multiple Dependent | _____ | | | | _____ | = | _____ |

**Or number previously paid, if greater; For Reissues, see below.

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Code | Fee (\$) | Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ _____

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 099 | 8,800 | 099 | 8,800 | Request for inter parties reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 110.00 |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 148 | 110 | 248 | 55 | Statutory Disclaimer | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | 740.00 |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 195 | 300 | 195 | 300 | Publication fee for early, voluntary, or normal pub. | |
| 196 | 300 | 196 | 300 | Publication fee for republication | |
| 194 | 130 | 194 | 130 | Request for voluntary publication or republication | |
| 098 | 130 | 098 | 130 | Processing fee under 37 CFR 1.17(i) (except provisionals) | 130.00 |
| 091 | 1,280 | 091 | 1,280 | Acceptance of unintentionally delayed claim for priority | |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$980.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: John P. WardSignature: _____ Date: February 22, 2002Reg. Number: 40,216 Telephone Number: (408) 720-8300 x237